Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number To be assigned Ext 10/663174 September 15, 2003 Filing Date INFORMATION DISCLOSURE First Named Inventor John SANTHOFF et al. STATEMENT BY APPLICANT Art Unit To be assigned 2666 To be assigned Melanie Jaganna (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number 30287-111

Sheet	Τ	1 of	4	Attorney Docket Number 30287	111
			II S PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
⊘ 5		^{US-} 3,668,639	06-06-1972	Harmuth	1
ma Tem		US-3,678,204	07-18-1972	Harmuth	
CVO		US-3,728,632	04-17-1973	Ross	
No		^{US-} 4,641,317	02-03-1987	Fullerton	
MΩ		^{US-} 4,979,186	12-18-1990	Fullerton	
w	1	^{US-} 5,146,616	09-08-1992	Tang et al.	
CO		^{US-} 5,363,108	11-08-1994	Fullerton	
m		US- 5,677,927	10-14-1997	Fullerton et al.	
Curos.		^{US-} 5,687,169	11-11-1997	Fullerton	
αõ		US- 5,748,891	05-05-1998	Fleming et al.	
UD.		^{US-} 5,920,278	07-06-1999	Tyler et al.	
~0	· ·	^{US-} 6,002,708	12-14-1999	Fleming et al.	
m		^{US-} 6,026,125	02-15-2000	Larrick, Jr. et al.	
no		^{US-} 6,031,862	02-29-2000	Fullerton et al.	
സ്ത്		US- 6,178,217 B1	01-23-2001	Defries et al.	
(ND)		us- 6,275,544 B1	08-14-2001	Aiello et al.	
20		^{US-} 6,281,784 B1	08-28-2001	Redgate et al.	
(M)	Г	^{US-} 6,505,032 B1	01-07-2003	McCorkle et al.	

			IGN PATENT DOCU	Name of Patentee or	Pages, Columns, Lines.	Т
Examiner Initials*	Cite No.1	Foreign Patent Document	Date	Applicant of Cited Document	Where Relevant Passages	ما
	''.	Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	r
M		WO 01/39451 A1	05-31-2001	Multispectral Solutions, Inc.		_
MAR		WO 01/93434 A2	12-06-2001	XtremeSpectrum, Inc.		
(V)		WO 02/31986 A2	04-18-2002	XtremeSpectrum, Inc.		
					<u> </u>	
						L

Richards et al.

03-25-2003

US-6,539,213 B1

Examiner Signature Millane Jagant Date Considered 5/27/05

*EXAMINER: Initial if reference considered, Whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^3\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^4\) Applicant is to place a check mark here if English language

Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Tredemark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number To be assigned 101 663174 Filing Date September 15, 2003 INFORMATION DISCLOSURE First Named Inventor John SANTHOFF et al. STATEMENT BY APPLICANT Art Unit To be assigned _ _ სას (Use as many sheets as necessary) To be assigned merania Examiner Name garnather Attorney Docket Number 30287-111 Sheet

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
m		US- 2001/0033610 A1	10-25-2001	Chastain	
(NO.		US- 2002/0075972 A1	06-20-2002	Richards et al.	
m		^{US-} 2002/0076193 A1	06-20-2002	Melick et al.	
		US-			
	1	US-			
		US-			
	 	US-			
		US-	1		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Ι		
	1.0.	Country Code ³ -Number ⁴ Kind Code ³ (# known)	MM-DD-YYYY		Or Relevant Figures Appear			
						L		
						I		
						I		
						I		
						T		
	t					Τ		

Examiner Signature	Melanie	Topant	Date Considered	5/28	72

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/088 (04-03)

Approved for use through 04/30/2003. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ection of Information unless it contains a valid OMR control number

	e for form 1449/PTO		to 1000, no persons ar	Complete if Known			
Gubanan	3 101 101111 (44111 10			Application Numb r	To be assigned 10/663174		
INFO	DRMATION	DIS	CLOSURE	Filing Date	September 15, 2003		
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	John SANTHOFF et al.		
	(Use as many she	oto se e	ooosaand	Art Unit	To Be Assigned 2666		
	(озе аз талу эле	eus as n	ecessary)	Examiner Name	To be assigned Melane Jagannathan		
Sheet	3	of	4	Attorney Docket Number	30287-111		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
m		DI WU, PREDRAG SPASOJEVIC, IVAN SESKAR, "Multipath Beamforming UWB Signal Design Based on Temary Sequences", 40th Annual Allerton Conference, August 26, 2002, WINLAB, Rutgers University, Camden, New Jersey, USA	
m		HENNING F. HARMUTH, "Applications of Walsh functions in communications", IEEE Spectrum, November 1969, pgs. 82-91, USA.	
w2		ROBERT FLEMING, CHERIE KUSHER, "Integrated, Low-Power, Ultra-Wideband Transceivers for Distributed Position Location and Communication", Semi-Annual Technical Report Contract J-BFI-94-058, Aether Wire & Location, Inc. July 1995, Nicasio, CA, USA.	
M0		ROBERT FLEMING, CHERIE KUSHER, "Low-Power, Miniature, Distributed Position Location and Communication Devices Using Ultra-Wideband, Nonsinusoidal Communication Technology", Semi-Annual Technical Report Contract J-BFI-94-058, Aether Wire & Location, Inc. July 1995, Nicasio, CA, USA.	
m		FERNANDO RAMIREZ-MIRELES, ROBERT A. SCHOLTZ, "N-Orthogonal Time-Shift-Modulated Codes for Impulse Radio", Report from Joint Services Electronics Program Contract F 49620-94-0022, CTMC 1997, IEEE Wireless 98; July 1998, USA.	
m		FERNANDO RAMIREZ-MIRALES, "On Performance of Ultra Wideband Signals in Gaussian Noise and Dense 'Multipath", Paper 99C265, Accepted for Publication in the IEEE Transactions on Vehicular Technology, USC Ultralab, USA.	,
75		ROBERT A. SCHOLTZ, P. VIJAY KUMAR, CARLOS J. CORRADA-BRAVO, "Signal Design for Ultra-wideband Radio", Department of Electrical Engineering, University of Southern California, Los Angeles, CA, USA.	
m		MOE Z. WIN, ZORAN A. KOSTIC, "Impact of Spreading Bandwidth on Rake Reception in Dense Multipath Channels", IEEE Journal on Selected Areas on Communications, Vol. 17, No. 10, pages 1794-1806, October 1999, USA.	
Mo		MOE Z. WIN, GEORGE CHRISIKOS, NELSON R. SOLLENBERGER, "Performance of Rake Reception in Dense multipath Channels: Implications of Spreading Bandwidth and Selection Diversity Order", IEEE Journal on Selected Areas on Communications, Vol. 18, No. 8, pages 1516-1525, August 2000, USA.	
m		HENNING F. HARMUTH, "Frequency-Sharing and Spread-Spectrum Transmission with Large Relative Bandwidth", IEEE Transactions on Electromagnetic Compatibility, Vol. EMC-20, No. 1, February 1978, USA.	

Examiner		Date	
		1 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	5/27/25
Signature	1 relevelent	l Considered	310 1100
Olghalaid			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/08B (04-03) ved for use through 04/30/2003. OMB 0651-0031

	, pp; 0, 100 in
	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Index the Penerwork Reduction Art of 1995, no necessary are required to respond	to a collection of information unless it contains a valid OMB control number.

Substitut	e for form 1449/PTO			Compl t if Known		
Journal				Application Numb r	To be assigned 10/663174	
INFO	DRMATION	N DIS	CLOSURE	Filing Date	September 15, 2003	
STA	TEMENT	BY A	PPLICANT	First Named Inventor	John SANTHOFF et al.	
	// too oo maay ah			Art Unit	To Be Assigned 266L	
	(Use as many sh	ieuus es n	н еси ввигу)	Examiner Name	Interessigned Melanie Jagannotha	
Sheet	4	of	4	Attorney Docket Number	30287-111	

Fundas -	04-	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Examiner Initials*	Cite No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
M		MULTISPECTRAL SOLUTIONS, INC., "Revision of Part 15 of the Commission's Rules Regarding Ultra-Wideband Transmission Systems" September 12, 2000	
M		ANNA SCAGLIONE, "Differential Direct Sequence Spread Spectrum for Ultra-Wideband Low power Wireless Microsystems", University of New Mexico, Dept. of EECE, Albuquerque, NM, USA.	
,			

Examiner	Date	Glash -
Signature YY Whave I afarnethan	Considered	2141102

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant:

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.